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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Washington, D.C. 20231 on September 13, 2001.

11-5-01

Signature

Applicant

David C. Jones 09/888,242

Application No.: Filed:

June 22, 2001

Title

RATE ADAPTATION AND PARAMETER

OPTIMIZATION FOR MULTI-BAND SINGLE

CARRIER TRANSMISSION

Grp./Div.

2681

Examiner

To be Assigned

Docket No.

45288/CAG/B600

INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b)

Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7068 Pasadena, CA 91109-7068 September 13, 2001

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Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

Peter A. Nichols Reg. No. 47,822

626/795-9900

PAN/cks

Enclosures: PTO-1449, w/references

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ATTACHMENT TO INFORMATION DISCLOSURE STATEMENT OF U.S. PATENT APPLICATIONS TO BE CONSIDERED BY THE EXAMINER BUT NOT TO BE PRINTED ON THE PATENT

The following commonly owned, co-pending patent application contains similar subject matter as the present application.

PENDING APPLICATIONS				
U.S. Serial No. Filing Date		First Named Inventor(s)		
09/309,340 May 11, 1999		David C. Jones		
EXAMINER		DATE CONSIDERED		

INFORMA STATEMENT BY APPLICANT

CLO	su	RE	

Application Number 09/888,242 June 22, 2001 Filing Date

45288/PAN/B600

2681

Attorney Docket Number

Applicant(s) David C. Jones Group Art Unit

Examiner Name To be Assigned

(use as many sheets as necessary)

U.S. PATENT DOCUMENTS						
EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,359,593	10/1994	Derby et al.	370	17	
	5,479,447	12/1995	Chow et al.	375	260	
	5,598,435	01/1997	Williams	375	261	
	5,987,069	11/1999	Furukawa et al.	375	285	
	6,072,779	06/2000	Tzannes et al.	370	252	
	6,088,387	07/2000	Gelblum et al.	375	222	
	6,101,223	08/2000	Betts	375	261	

FOREIGN PATENT DOCUMENTS							
	COLUMBANOD			TRANSLATION			
EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	YES	NO
	0 753 947	01/1997	European Patent Office				
	0 812 087	12/1997	European Patent Office				
	0 869 647	10/1998	European Patent Office				
	WO 98/57472	12/1998	WIPO				
	WO 95/28773	10/1995	WIPO		,		
	WO 97/37454	10/1997	WIPO				
	2 285 375	07/1995	United Kingdom				

	OTHER DOCUMENTS
EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER	DATE	
SIGNATURE	CONSIDER	EED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE CKS PAS379801.1-*-9/13/01 4:37 PM